



INSURANCE FRAUD INVESTIGATION DIVISION
Kentucky Department of Insurance
909 Leawood Drive, P. O. Box 4050, Frankfort, KY 40604-4050
Phone 502-564-1461 – FAX 502-564-1464
Fraud Statistics/Convictions Activity
April 2015

<u>Fraud Statistics</u>	<u>Month/April</u>	<u>YTD</u>
Total Referrals Received.....	116	539
Closed Referrals.....	182	566
Open Cases (Investigations – includes prior years).....		445
Assigned Cases (Investigations).....		57
Closed Cases (Investigations).....	7	15
Closed Cases (Investigations) Exceptionally Cleared – Declined by Prosecutor.....	2	3
Charges (3 felony, 0 misdemeanor)	3	40
Convictions (2 felony, 0 misdemeanor)	2	89
Restitution Ordered..... \$	123,273.85	203,408.78
Restitution Agreed..... \$.00	.00
Restitution Total..... \$	123,273.85	203,408.78
Investigation Expenses to Division		
Awarded..... \$	3,933.74	5,433.74
Collected..... \$	729.62	6,110.85
DOI Fines..... \$.00	1,000.00
Administrative Subpoenas Issued.....	1	2
Non-Licensed Individuals.....	1	2
Licensed (active/inactive individuals).....		
Subpoenas Received.....		3

Convictions Activity

Valina Yates – Yates reported a residential fire loss. A cause and origin expert determined the fire resulted from an intentional human act. Yates pleaded guilty to arson 3rd degree and fraudulent insurance acts over \$500. Yates was sentenced to five years to run concurrently. She also was granted pre-trial diversion. Restitution of \$93,273.85 was ordered plus investigative expenses of \$1,236.10 to the Kentucky Department of Insurance.

Pending conviction – Restitution in the amount of \$30,000 was awarded on a case in which paperwork is still pending. The corresponding narrative will appear in a subsequent report.